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PTO/SB/29 (12/97)

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UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Attorney Docket No.	8449-041	Total Pages	81
	First Named Inventor or Application Identifier			
	PRAMOD K. SRIVASTAVA			
	Express Mail Label No.	EM 490 491 350 US		

APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents.</i>	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
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1. ☒ Fee Transmittal Form
Submit an original, and a duplicate for fee processing
2. ☒ Specification [71 Pages] +
 Table of Contents [3 Pages]
 Total Pages 74
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings *(if filed)*
 - Detailed Description of the Invention *(including drawings, if filed)*
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) *(35 USC 113)* [Total Sheets 5]
4. ☒ Oath or Declaration [Total Sheets 2]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
 - i. ☐ **DELETION OF INVENTORS(S)**
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33 (b).
5. ☒ Incorporation By Reference *(useable if Box 4b is checked)*
 The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program *(Appendix)*
7. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statamant varifying identity of above copies

ACCOMPANYING APPLICATION PARTS
8. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 9. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i> 10. <input type="checkbox"/> English Translation Document <i>(if applicable)</i> 11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 12. <input type="checkbox"/> Preliminary Amendmant 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 14. <input type="checkbox"/> Small Entity <input checked="" type="checkbox"/> Statamant filed in prior application, Status still proper and desired 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 16. <input type="checkbox"/> Other:

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:
☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No: 08/527,391 filed September 13, 1995.

18. **CORRESPONDENCE ADDRESS**

☒ Customer Number or Bar Code Label 20583
(Insert Customer No. or Attach bar code label here) or ☐ Correspondence address below

NAME				
ADDRESS				
CITY	STATE	ZIP CODE		
COUNTRY	TELEPHONE	FAX		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PENNIE & EDMONDS LLP DOCKET NO. 8449-041

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Anticipated Classification of this application:

Prior application: Examiner G. BansalArt Unit 1642

Assistant Commissioner for Patents
 Box PATENT APPLICATION
 Washington, D.C. 20231

Sir:

This is a request for filing a ☐ continuation ☒ divisional application under 37 CFR § 1.53(b), of pending prior application no. 08/527,391 filed on September 13, 1995

of Pramod K. Srivastava

(inventor(s) currently of record in prior application)

for COMPOSITIONS AND METHODS USING COMPLEXES OF HEAT SHOCK PROTEINS AND ANTIGENIC MOLECULES
FOR THE TREATMENT AND PREVENTION OF NEOPLASTIC DISEASES

(title of invention)

☒ The filing fee is calculated below:

**CLAIMS AS FILED IN THE PRIOR APPLICATION INCLUDING ANY CLAIM
 MODIFICATIONS MADE BELOW**

PATENT APPLICATION FEE VALUE

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	2	-20	0	\$22.00 each	0.00
Independent	2	-3	0	\$82.00 each	0.00
Basic Fee					790.00
Multiple Dependency Fee If Applicable (\$270.00)					
Total					790.00
50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern					- 395.00
Total Filing Fee					\$ 395.00

2. ☒ Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.
3. ☒ Cancel in this application original claims 1-59 of the prior application by preliminary amendment before calculating the filing fee (At least one original independent claim must be retained for filing purposes.)

PENNIE & EDMONDS LLP DOCKET NO. 8449-041

4. ☒ Amend the specification by inserting before the first line the following sentence: This is a ☐ continuation, ☒ division, of application No. 08/527,391, filed September 13, 1995.
- 5a. ☐ Transfer the drawings from the prior application to this application and abandon the prior application as of the filing date accorded this application. A duplicate copy of this sheet is enclosed for filing in the prior application file.
- 5b. ☐ New formal drawings are enclosed.
- 5c. ☒ Informal drawings are enclosed.
- 6a. ☐ Priority of application no. filed on in is claimed under 35 U.S.C. §119.
- 6b. ☐ The certified copy has been filed in prior application no. , filed .
7. ☒ The prior application is assigned of record to Fordham University, 441 East Fordham Road, Bronx, New York 10458.
- 8a. ☒ The Power of Attorney appears in the original papers in the prior application no. 08/527,391, filed September 13, 1995.
- 8b. ☒ A copy of the Power of Attorney in prior application no. 08/527,391, filed September 13, 1995 is enclosed.
9. ☐ This application contains nucleic acid and/or amino acid sequences required to be disclosed in a Sequence Listing under 37 CFR §§1.821-1.825. It is requested that the Sequence Listing in computer readable form from prior application no. 08/527,391, filed on September 13, 1995 be made a part of the present application as provided for by 37 C.F.R. §1.821(e). The sequences disclosed therein are the same as the sequences disclosed in this application. A copy of the paper Sequence Listing from application no. 08/527,391 is enclosed.
10. ☐ The undersigned states, under 37 C.F.R. §1.821(f), that the content of the enclosed paper Sequence Listing from application no. 08/527,391 is the same as the content of the computer readable form submitted in application no. 08/527,391.
11. ☐ Additional enclosures or instructions.

Respectfully submitted,

June 4, 1998

S. Leslie Misrock 18,872
S. Leslie Misrock (Reg No.)

By: Adriane M. Antler 32,605
Adriane M. Antler (Reg No.)

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